APPENDIX J

Adapted from Amphibian and Reptile Groups of the United Kingdom- ARG UK Advice Note 5 2010

Great Crested Newt Habitat Suitability Index

Background

The Habitat Suitability Index (HSI) for the great crested newt was developed by Oldham *et al*. (2000). HSI scoring systems were originally developed by the US Fish and Wildlife Service as a means of evaluating habitat quality and quantity. An HSI is a numerical index, between 0 and 1. 0 indicates unsuitable habitat, 1 represents optimal habitat. The HSI for the great crested newt incorporates ten suitability indices, all of which are factors thought to affect great crested newts. These ten suitability indices are retained in this current Guidance Note.

The HSI system proposed by Oldham *et al*. (2000) is fairly easy to use. However, one suitability index (SI_9, terrestrial) involves a more lengthy measurement and calculation than the other factors. In using the HSI system with volunteer surveyors in Kent, Lee Brady substituted a simpler evaluation of terrestrial habitat quality, a four-point scale. Volunteers have found this modified HSI relatively easy to use.

Several other, local, surveys have utilised the HSI, but utilised their own variations on the original system. In 2007, a workshop was held at the Herpetofauna Workers' Meeting to evaluate the use of the HSI for the great crested newt, with the aims of:

- identifying components of the system that may need clarification or refinement
- agreeing on a standard that can be easily used by volunteers and professionals alike.

A conservative approach has been adopted in modifying the use of the original HSI suitability indices.

Use and limitations of HSI

The HSI for great crested newts is a measure of habitat suitability. It is not a substitute for newt surveys. In general, ponds with high HSI scores are more likely to support great crested newts than those with low scores. However, the system is not sufficiently precise to allow the conclusion that any particular pond with a high score will support newts, or that any pond with a low score will not do so.

There is also a positive correlation between HSI scores and the numbers of great crested newts observed in ponds. So, in general, high HSI scores are likely to be associated with greater numbers of great crested newts. However, the relationship is not sufficiently strong to allow predictions to be made about the numbers of newts in any particular pond.

HSI scoring can be useful in:

- Evaluating the general suitability of a sample of ponds for great crested newts
- Comparing general suitability of ponds across different areas
- Evaluating the suitability of receptor ponds in a proposed mitigation scheme.

How to collect data and calculate HSI

The HSI is a geometric mean of ten suitability indices:

$HSI = (SI_1 \times SI_2 \times SI_3 \times SI_4 \times SI_5 \times SI_6 \times SI_7 \times SI_8 \times SI_9 \times SI_{10})^{1/10}$

- The ten Suitability Indices are scored for a pond, in the field and from map work.
- The ten field scores are then converted to SI scores, on a scale from 0.01 to 1 (0.01 is used as the bottom end of the range in stead of 0, because multiplying by 0 reduces all other SI scores to 0).
- The ten SI scores are then multiplied together.
- The tenth root of this number is then calculated $(X)^{1/10}$

The calculated HSI for a pond should score between 0 and 1.

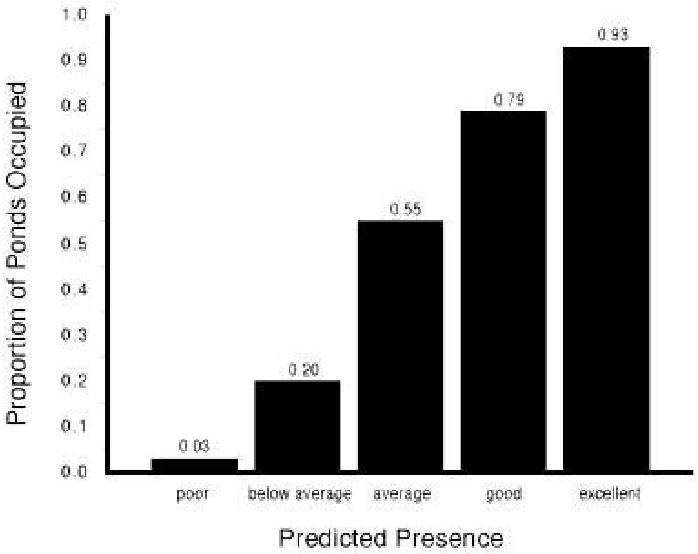
Some of the field scores are categorical, some are numerical. The numerical field scores are converted to SI scores by reading off the values from graphs produced by Oldham *et al*. (2000) reproduced in this Guidance Note.

The field scores are the data that should be collected by a surveyor. A summary of data to collect is given in *Summary of scoring system* below. More full details of the scoring system, including descriptions of the criteria used in the categorical scores are given in *Details of Suitability Indices and Definitions of Categories.* Two of the SI sores (SI_1 and SI_8) can be carried out as desktop/map exercises and so do not have to be completed in the field. The remaining SI scores should be recorded as field scores, and later converted to suitability indices, in some cases reading SI scores from the graphs provided in *Details of Suitability Indices and Definitions of Categories.*

Categorisation of HSI scores

Lee Brady has developed a system for using HSI scores to define pond suitability for great crested newts on a categorical scale:

HSI		Pond suitability
<0.5	=	poor
0.5 – 0.59	=	below average
0.6 – 0.69	=	average
0.7 – 0.79	=	good
> 0.8	=	excellent



Summary of scoring system

SI_1 Location

Field score	SI
A (optimal)	1
B (marginal)	0.5
C (unsuitable)	0.01

SI_2 Pond area

Field score	SI
Measure pond surface area (m^2) and round to nearest 50 m^2	Read off graph.

SI_3 Pond drying

Field score	SI	Criteria
Never	0.9	Never dries
Rarely	1.0	Dries no more than two years in ten or only in drought.
Sometimes	0.5	Dries between three years in ten to most years
Annually	0.1	Dries annually

SI_4 Water quality

Field score	SI	Criteria
Good	1.0	Abundant and diverse invertebrate community.
Moderate	0.67	Moderate invertebrate diversity
Poor	0.33	Low invertebrate diversity, few submerged plants
Bad	0.01	Clearly polluted, only pollution-tolerant invertebrates, no submerged plants.

SI_5 Shade

Field score	SI
Estimate percentage perimeter shaded to a least 1 m from shore.	Read off graph.

SI_6 Fowl

Field score	SI	Criteria
Absent	1	No evidence of water fowl (although moorhen may be present)
Minor	0.67	Waterfowl present, but little sign of impacts
Major	0.01	Severe impact of waterfowl

SI_7 Fish

Category	SI	Criteria
Absent	1	No records of fish stocking and no fish revealed during survey.
Possible	0.67	No evidence of fish, but local conditions suggest that they may be present.
Minor	0.33	Small numbers of crucian carp, goldfish or stickleback known to be present.
Major	0.01	Dense populations of fish known to be present.

SI_8 Ponds

Field score	SI
Count the number of ponds within 1 km of survey pond, not separated by major barriers, and divide by 3.14. This can be done from maps rather than in the field.	Read off graph.

SI_9 Terrestrial habitat

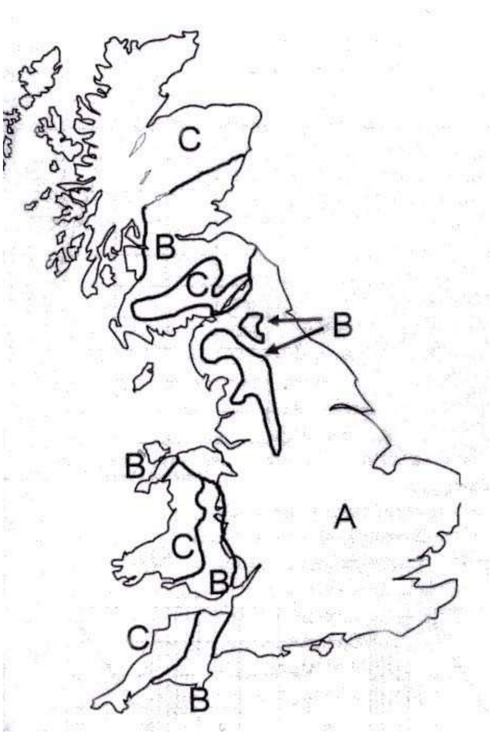
Field score	SI
Good	1
Moderate	0.67
Poor	0.33
None	0.01

SI_{10} Macrophytes

Field score	SI
Estimate the percentage of the pond surface area occupied by macrophyte cover (between May and the end of September)	Read off graph.

Details of Suitability Indices and Definitions of Categories

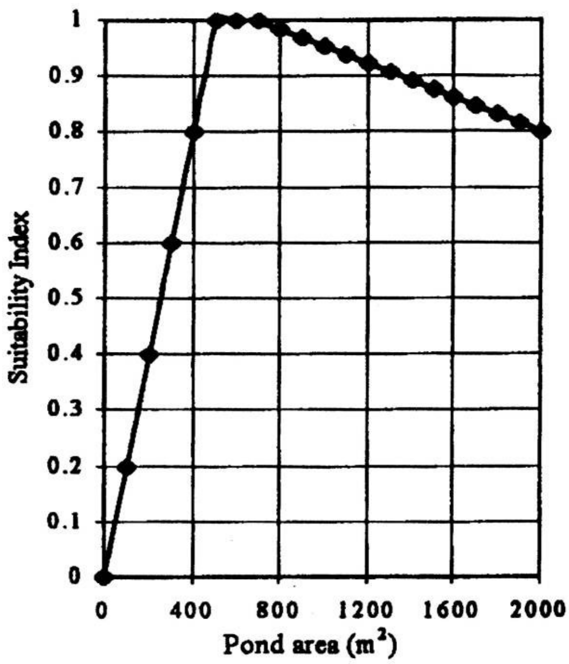
Factor 1. Geographic location (SI_1)



Sites should be scored according to the zone in which they occur. This scoring can be carried out either in the field, or as part of a desktop exercise.
Zone A, location is optimal, SI = 1
Zone B, location is marginal, SI = 0.5
Zone C, location is unsuitable, SI = 0.01.

Some sites will fall on boundary lines between zones. In such cases, select medium-value scores i.e. Zone B.

Factor 2. Pond area



Pond area is the surface area of the pond when water is at its highest level (excluding flooding events). This is usually in the spring. If the pond is being measured at another time of year, the springtime area should still be evident from vegetation types and evidence of a draw down zone around the pond.

Pond area should be measured as accurately as possible. There are several ways of doing this, for example by measuring axes of regularly shaped ponds, either by pacing out in the field, or using a map. Irregularly shaped ponds may have to be treated as a series of geometrical shapes, calculating the area for each and adding together.

Since it can be difficult reading off SI scores from graph, pond area should be rounded to nearest 50 m.

It can be particularly difficult to read off SI scores for very small ponds. For ponds smaller than 50 m^2a score of 0.05 should be used.

Factor 3. Permanence

Pond permanence should be deduced from local knowledge and on personal judgement. A landowner may know how often a pond dries. However, if not, the surveyor should make a judgement based on water level at the time of the survey, and taking seasonality into consideration. For example, a pond that is already dry by late spring is likely to dry out every year, etc.

Category	SI	Criteria
Never dries	0.9	Never dries.
Rarely dries	1.0	Dries no more than two years in ten or only in drought.
Sometimes dries	0.5	Dries between three years in ten to most years.
Dries annually	0.1	Dries annually.

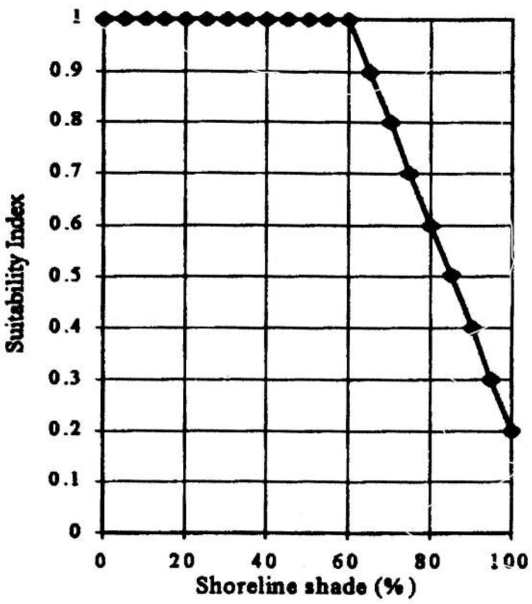
Factor 4. Water quality.

The assessment of water quality is subjective and should be based primarily on invertebrate diversity. Hence, water quality should not be confused with water clarity. Sometimes clear water can be devoid of invertebrates, and turbid ponds can support a wealth of invertebrates. There is no quick and simple invertebrate index of water quality. However, some species are indicators of water quality.

Category	SI	Criteria
Good	1.0	Water supports an abundant and diverse invertebrate community. Netting reveals handfuls of diverse invertebrates, including groups such as mayfly larvae and water shrimps.
Moderate	0.67	Moderate invertebrate diversity
Poor	0.33	Low invertebrate diversity (e.g. species such as midge and mosquito larvae. Few submerged plants.
Bad	0.01	Clearly polluted, only pollution-tolerant invertebrates (such as rat-tailed maggots), no submerged plants.

Other cues may also provide information about water quality. For example, ponds subject to agricultural inputs are likely to have poor water quality.

Factor 5. Shade



Estimate percentage pond perimeter shaded, to at least 1m from the shore. Shading is usually from trees, but can include buildings but should not include emergent pond vegetation. Estimate should be made during the period from May to the end of September.

Factor 6. Fowl

This factor is concerned with the impact of waterfowl upon a pond. At high densities, as created when waterfowl are encouraged to use a pond, by provision of food, the birds can remove all aquatic vegetation, pollute water and persistently stir sediments. Score as one of three categories.

Category	SI	Criteria
Absent	1	No evidence of waterfowl impact (moorhens may be present).
Minor	0.67	Waterfowl present, but little indication of impact on pond vegetation. Pond still supports submerged plants and banks are not denuded of vegetation.
Major	0.01	Severe impact of waterfowl. Little or no evidence of submerged plants, water turbid, pond banks showing patches where vegetation removed, evidence of provisioning waterfowl.

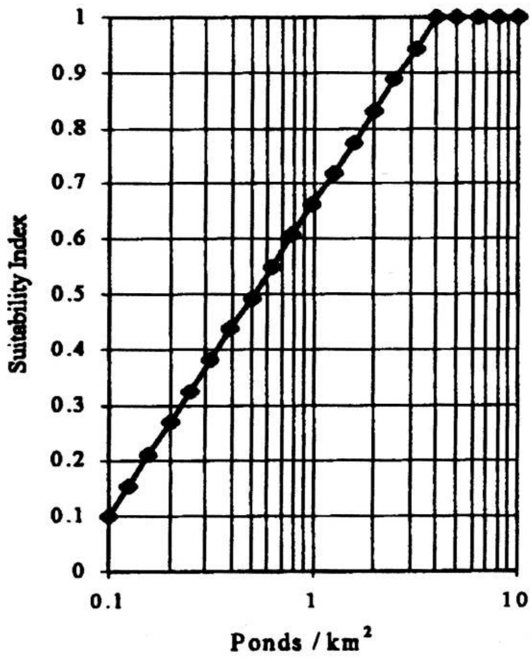
'Waterfowl' includes most water birds, such as ducks, geese and swans. Moorhens should be ignored because almost every pond has at least one or two.

Factor 7. Fish

Information on fish should be gleaned from local knowledge and the surveyor's own observations. Pond owners will usually be aware of stocking with fish for commercial or aesthetic reasons. However, stickleback (which can be significant predators of great crested newt larvae, when present in large numbers) are unlikely to be deliberately introduced to a pond, but may arrive through other means. Netting is useful in detecting smaller fish, such as sticklebacks, or the fry of larger species.

Category	SI	Criteria
Absent	1	No records of fish stocking and no fish revealed by netting or observed with torchlight.
Possible	0.67	No evidence of fish, but local conditions suggest that they may be present.
Minor	0.33	Small numbers of crucian carp, goldfish or stickleback known to be present.
Major	0.01	Dense populations of fish known to be present.

Factor 8. Pond count



This is the number of ponds occurring within 1 km of survey pond. Do not count the survey pond itself. Ponds on the far side of major barriers, such as main roads, should not be counted. Use 1:25,000 scale O.S. data, such as Explorer maps, GIS or web-based mapping sources. Pond counts can be carried out a by a survey coordinator and so do not necessarily have to be performed by surveyors.

Getamap	www.ordnancesurvey.co.uk/oswebsite/getamap/
Magic	www.magic.gov.uk/site_map.html
Digimap	edina.ac.uk/digimap/

Divide the number of ponds by Pi (3.14) to calculate the density of ponds per km^2, and read off graph.

Factor 9. Terrestrial

Scoring terrestrial habitat depends on the surveyor's understanding of newt habitat quality. Good terrestrial habitat offers cover and foraging opportunities and includes meadow, rough grassland, hedges, scrub and woodland. Terrestrial habitat should be considered only on the near side of any major barriers to dispersal (e.g. main roads or large expanses of bare habitat).

Category	SI	Criteria
Good	1	Extensive area of habitat that offers good opportunities for foraging and shelter completely surrounds pond (e.g. rough grassland, scrub or woodland).
Moderate	0.67	Habitat that offers opportunities for foraging and shelter, but may not be extensive in area and does not completely surround pond.
Poor	0.33	Habitat with poor structure that offers limited opportunities for foraging and shelter (e.g. amenity grassland).
None	0.01	Clearly no suitable habitat around pond (e.g. centre of large expanse of bare habitat).

Great crested newts do not have specific habitat requirements. However, good quality terrestrial habitat has structure. The presence of rabbit borrows, small mammal holes, proximity to old farm buildings, stone walls, piles of loose stone/rock all contribute towards 'good' terrestrial habitat. Note that it is rare to encounter a pond with a terrestrial habitat category of 'none'.

Factor 10. Macrophytes

Estimate the percentage of the pond surface area occupied by macrophyte cover. This includes emergents, floating plants (excluding duckweed) and submerged plants reaching the surface. Make estimate during the newt breeding season (March to May). Read off SI value from graph.

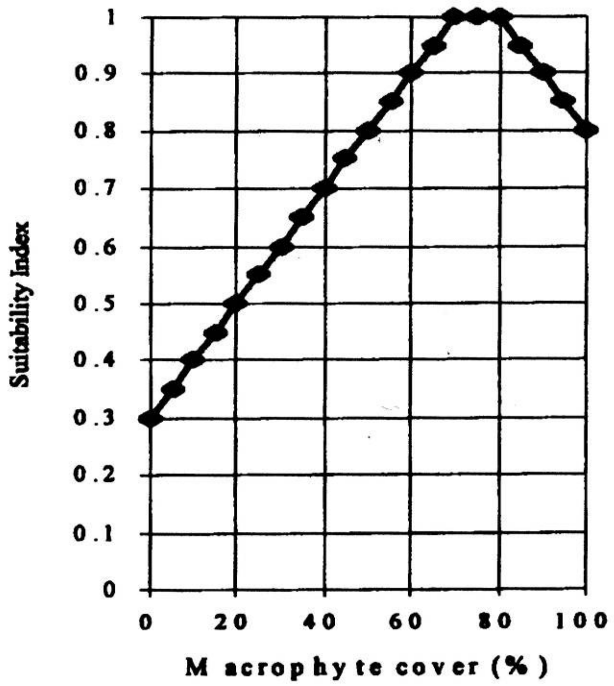
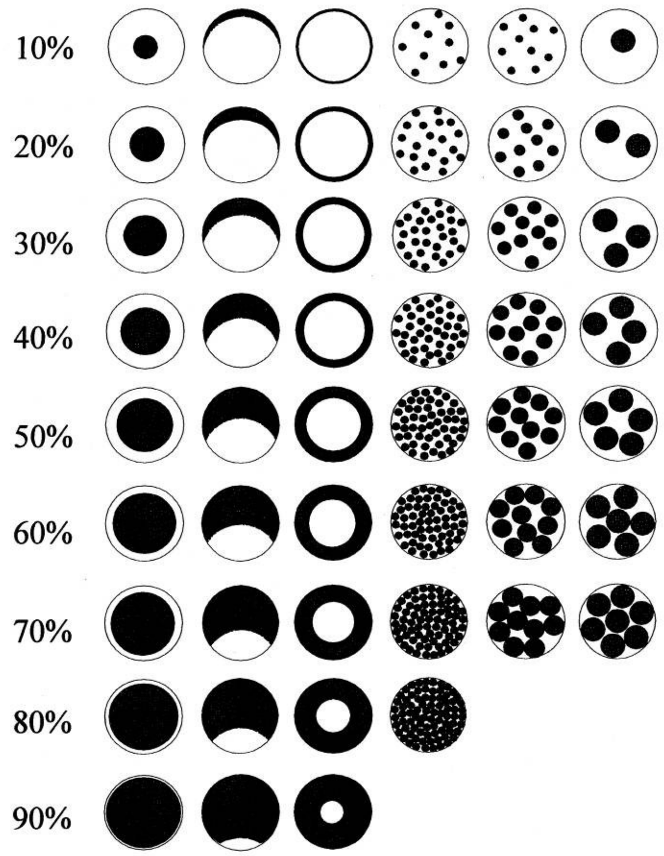


Fig. Guide for use in assessment of the proportions of vegetation cover in a pond. The areas of dark shading simulate a variety of vegetation dispersion patterns.



Reference

Oldham R.S., Keeble J., Swan M.J.S. & Jeffcote M. (2000). Evaluating the suitability of habitat for the Great Crested Newt (*Triturus cristatus*). Herpetological Journal 10 (4), 143-155.