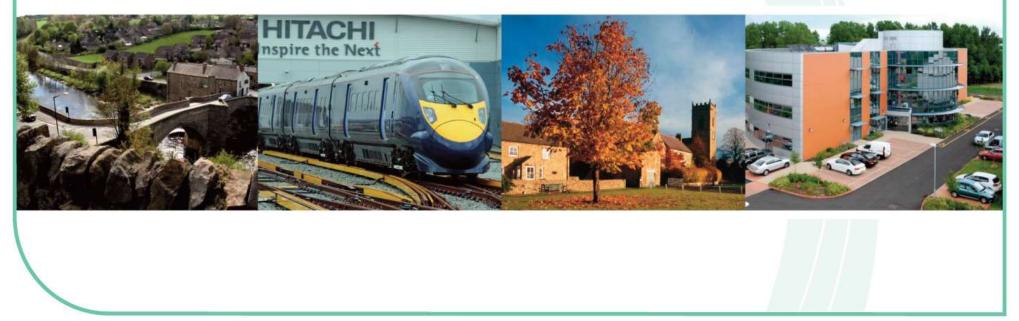


## **Planning validation requirements**

Guidance note on the validation requirements for planning and other types of applications

## May 2022



## INTRODUCTION

To assess a planning application, we need appropriate supporting information. Certain national requirements are mandatory (see 1-6) but we have discretion to adopt a local list of validation requirements (see 7-30 below). Local validation criteria must comply with the following statutory tests<sup>1</sup>:

- i. must be reasonable having regard, in particular, to the nature and scale of the proposed development; and,
- ii. may require particulars of, or evidence about, a matter only if it is reasonable to think that the matter will be a material consideration in the determination of the application.

For an application to be valid, you must provide all the required information (or a reasoned justification for not providing it). This guidance notes sets out the information that must be submitted with certain types of application and further information on thresholds when the information will be required.

Where an Environmental Statement is required, that will encompass most, if not all, of the supplementary information. In other cases, the Design and Access Statement or Planning Statement may be a useful covering document. If you do incorporate requirements in this way, please make sure that you use the appropriate sub-headings so that each requirement can be readily identified.

If you are in any doubt as to the level and type of information required speak to a Planning Officer before submitting the application. For all but the most straightforward developments you are strongly encouraged to enter pre-application discussions prior to submission of an application.

Where you disagree with a decision to invalidate an application you should follow this up in writing setting out why you consider the information is not required. The requirement will then be reviewed by the Council. If there remains a dispute, there is a procedure<sup>2</sup> to resolve such issues. If necessary, you can, after the statutory period for determining the application has expired, appeal against non-determination. In considering such an appeal the Inspector will consider both the dispute regarding invalidity and the merits of the application itself.

## Submitting applications

Applicants are encouraged to submit applications online - Apply for planning permission via Planning Portal

For further information, please contact: <a href="mailto:planning@durham.gov.uk">planning@durham.gov.uk</a> or 03000 262 830

<sup>&</sup>lt;sup>1</sup> Section 62 (4A) of the Town and Country Planning Act 1990 and Article 34(6) c of the Town and Country Planning (Development Management Procedure) (England) Order 2015, Para 44 of the NPPF.

<sup>&</sup>lt;sup>2</sup> set out in Article 12 of the Development Management Procedure Order 2015

|    | National Requirements mandatory for all applications               | Can be included in D&A Statement | Applicant checklist |
|----|--|----------------------------------|---------------------|
| 1  | Application Form   |                                  |                     |
| 2  | Location Plan  |                                  |                     |
| 3  | Ownership Certificates & Agricultural Declaration                  |                                  |                     |
| 4  | The Appropriate Fee  |                                  |                     |
| 5  | Design and Access Statement  |                                  |                     |
|    | Local Requirements see specific thresholds                         |                                  |                     |
| 6  | Additional Plans and Drawings                                      |                                  |                     |
| 7  | Agricultural Land Classification Statement                         |                                  |                     |
| 8  | Air Quality Assessment   |                                  |                     |
| 9  | Biodiversity and Geology Survey and Report                         |                                  |                     |
| 10 | Building for Life Assessment                                       | $\checkmark$                     |                     |
| 11 | Coal Mining Risk Assessment  |                                  |                     |
| 12 | Construction Management Plan                                       |                                  |                     |
| 13 | Environmental Statement  |                                  |                     |
| 14 | Health Impact Assessment   | $\checkmark$                     |                     |
| 15 | Heritage Statement   | $\checkmark$                     |                     |
| 16 | Hydrogeological Risk Assessment                                    |                                  |                     |
| 17 | Internal Space Standards Assessment                                |                                  |                     |
| 18 | Land Contamination Assessment                                      |                                  |                     |
| 19 | Levels   |                                  |                     |
| 20 | Lighting Assessment  |                                  |                     |
| 21 | Meeting Housing Needs Statement                                    |                                  |                     |
| 22 | Minerals and/or Waste Infrastructure Assessment                    |                                  |                     |
| 23 | Mineral Assessment   | $\checkmark$                     |                     |
| 24 | Noise Assessment   |                                  |                     |
| 25 | Nutrient Neutrality Information                                    |                                  |                     |
| 26 | Open Space, Sport, Play and Leisure Assessment                     | $\checkmark$                     |                     |
| 27 | Planning Statement   | $\checkmark$                     |                     |
| 28 | Sequential Test & Impact Assessment for Town Centre Uses           |                                  |                     |
| 29 | Soil Resource Management Strategy                                  |                                  |                     |
| 30 | Structural Survey  |                                  |                     |
| 31 | Surface & Foul Water Management Assessment & Flood Risk Assessment |                                  |                     |
| 32 | Sustainability Assessment  |                                  |                     |
| 33 | Telecommunications Assessment                                      |                                  |                     |
| 34 | Transport Assessment   |                                  |                     |

| 35 | Transport Statement                            | $\checkmark$ |  |
|----|--|--------------|--|
| 36 | Travel Plan                                    |              |  |
| 37 | Tree and Hedgerow Assessment                   |              |  |
| 38 | Ventilation/Extraction Statement               |              |  |
| 39 | Viability Assessment                           |              |  |
| 40 | Visual Impact Assessment (Townscape/Landscape) |              |  |

| 1 Application Form | All | The Standard Application Form can be accessed via the Planning Portal.   | Town and Country   |
|--------------------|-----|--|--|
|                    |     | Mineral applications should be made on a form provided by the local planning authority.  | Planning (Development<br>Management Procedure)<br>Order 2015                     |
| 2 Location Plan    | All | Based on an up-to-date map at 1:1250/ 1:2500.<br>Must identify sufficient roads and/or buildings<br>on adjoining land to ensure the exact site<br>location is clear.<br>The site must be edged in red and include all land<br>necessary to carry out the development. Any<br>other land owned by the applicant, close to or<br>adjoining the site must be edged blue.<br>If plans are being emailed for submission, they | Town and Country<br>Planning (Development<br>Management Procedure)<br>Order 2015 |

| 3 | Ownership Certificates<br>& Agricultural<br>Declaration | All | A certificate must be completed stating the land<br>ownership of the site. For this purpose, an<br>'owner' is anyone with a freehold interest, or<br>leasehold interest the unexpired term of which is<br>not less than seven years.  | Planning (Development<br>Management Procedure) |
|---|---|-----|---|--|
|   |   |     | Where relevant a notice to all owners of the application site must be completed and served in accordance with Article 13 of the DMPO.   |  |
|   |   |     | Agricultural Holdings Certificate is required for<br>all but the following applications: approval of<br>reserved matters, discharge or variation of<br>conditions, tree preservation orders, listed<br>building consent, lawful development certificate,<br>prior notification of proposed agricultural or<br>forestry development, a non-material<br>amendment or advertisement consent. |  |
| 4 | The Appropriate Fee                                     | All | Most planning applications incur a fee. The <u>Planning Portal</u> includes a fee calculator for applicants and Officers are able to advise applicants on specific cases.   | Planning (Fees for                             |

| 5 | Design and Access<br>Statement             | <ul> <li>Provision of 10 or more dwellings or site area exceeds 0.5ha</li> <li>Erection of buildings/extensions over 1000sqm</li> <li>Where site area is 1ha or greater (excluding minerals &amp; waste development)</li> <li>Applications for listed building consent In World Heritage Site or Conservation Area:</li> <li>Provision of 1 or more dwellings</li> <li>Building/extension over 100sqm</li> </ul> | Statement to explain the design principles and<br>concepts that have been applied to the following<br>aspects: amount, layout, scale, landscaping and<br>appearance.<br>The access component should explain how the<br>design ensures all users have equal and<br>convenient access.<br>The statement may take a proportionate<br>approach based on the scale/sensitivity of the<br>proposals.   | Town and Country<br>Planning (Development<br>Management Procedure)<br>Order 2015 |
|---|--|--|--|--|
| 6 | Additional Plans and<br>Drawings           | All  | <ul> <li>Depending on nature of development the following may be required:</li> <li>Block plan/roof plan (1:500 or 1:200)</li> <li>Existing and proposed elevations (1:50 or 1:100)</li> <li>Existing and proposed floor plans (1:50 or 1:100)</li> <li>Existing and proposed site sections, site levels and finished floor levels</li> <li>If plans are being emailed for submission, they must be in a .pdf or .tif format.</li> </ul> | Town and Country<br>Planning (Development<br>Management Procedure)<br>Order 2015 |
| 7 | Agricultural Land<br>Classification Report | Any development on land of 1ha or more<br>that is currently or last in use for agriculture   | Statement setting out the agricultural land<br>classification and whether the proposals would<br>involve the loss of any Best and Most Versatile<br>(BMV) agricultural land (Class 1, 2 or 3a).<br>See: <u>PPG Reference ID8</u>   | NPPF Para 170-171<br>CDP Policy 14   |

| r |                        |   |   |  |
|---|------------------------|---|---|--|
|   | Air Quality Assessment | <ul> <li>Where the proposal may potentially have a significant impact on air quality either by emitting air quality pollutants or by introducing receptors to areas where there are existing sources of air quality pollutants.</li> <li>Where the grant of permission would conflict with, or render unworkable, measures contained within an air quality action plan.</li> <li>If any of the following Stage 1 Screening Criteria apply, then further consideration will be required against the Stage 2 indicative criteria below:</li> <li>Stage 1 Screening Criteria:         <ul> <li>10+ residential units or a site area of more than 0.5ha</li> <li>&gt; 1,000 m2 of floor space for all other uses or a site area greater than 1ha</li> </ul> </li> <li>Coupled with any of the following:         <ul> <li>&gt; 10 parking spaces</li> <li>a centralised energy facility or other centralised combustion process</li> </ul> </li> <li>Consideration should still be given to the potential impacts of neighbouring sources on the site, even if an assessment of impacts of the development on the surrounding area is screened out</li> </ul> | <ul> <li>information as is necessary to allow a full consideration of the impact of the proposal on the air quality of the area, including any proposals for mitigation.</li> <li><i>Further advice is available in:</i></li> <li>The Durham City Air Quality Action Plan 2016</li> <li>Durham County Council: Technical Advice Note-Dust</li> <li>Institute of Air Quality Management Guidance on the Assessment of Mineral Dust Impacts for Planning, May 2016</li> <li>The Durham County Council: Planning &amp; Air Quality Guidance Note. (Latest Version: August 2014).</li> <li>Land Use Planning &amp; Development Control: Planning for Air Quality (January 2017) (Guidance from the Institute of Local Air Quality Management/Environmental Protection UK).</li> <li>Combined Heat &amp; Power: Air Quality Guidance for Local Authorities (2012) (Environmental Protection UK); and</li> <li>Biomass &amp; Air Quality Information for</li> </ul> |  |
|   |                        | Stage 2: Indicative criteria for requiring an air quality assessment  |   |  |
|   |                        |   |   |  |

| <ol> <li>Cause a significant change in LDV traffic<br/>flows on local roads with relevant<br/>receptors. A change of LDV flows of:</li> <li>&gt; 100 AADT within or adjacent to an AQMA</li> <li>&gt; 500 AADT elsewhere.</li> </ol>                            |
|---|
| <ul> <li>2. Cause a significant change in HDV flows on local roads with relevant receptors. A change of HDV flows of:</li> <li>&gt;25 AADT within or adjacent to an AQMA</li> <li>&gt;100 AADT elsewhere.</li> </ul>  |
| 3. Realign roads, i.e. changing the proximity<br>of receptors to traffic lanes. Where the<br>change is 5m or more and the road is<br>within an AQMA.  |
| <ol> <li>Introduce a new junction or remove an<br/>existing junction near to relevant<br/>receptors. Applies to junctions that cause<br/>traffic to significantly change vehicle<br/>accelerate/decelerate, e.g. traffic lights, or<br/>roundabouts.</li> </ol> |
| <ul> <li>5. Introduce or change a bus station. Where bus flows will change by:</li> <li>&gt; 25 AADT within or adjacent to an AQMA</li> <li>&gt;100 AADT elsewhere.</li> </ul>  |
| 6. Have an underground car park with<br>extraction system which is within 20 m of a<br>relevant receptor. Coupled with the car<br>park having more than 100 movements per<br>day (total in and out).  |

|  | 7. Have one or more substantial combustion processes, where there is a risk of impacts at relevant receptors.   |  |
|--|---|--|
|  | NB. this includes combustion plant associated<br>with standby emergency generators (typically<br>associated with centralised energy centres)<br>and shipping. Typically, any combustion plant<br>where the single or combined NOx emission<br>rate is less than 5 mg/sec <sup>a</sup> is unlikely to give<br>rise to impacts, provided that the emissions<br>are released from a vent or stack in a location<br>and at a height that provides adequate<br>dispersion. |  |
|  | 8. Minerals Extraction and Waste<br>Management schemes  |  |
|  | <ul> <li>9. Biomass schemes</li> <li>10. Developments with significant dust potential during demolition or construction, where there are residents within 200m</li> </ul>   |  |
|  | *LDV = cars/ small vans <3.5t gross vehicle<br>weight).<br>*HDV = goods vehicles/buses >3.5t gross<br>vehicle weight<br>*AADT= Annual Average Daily Traffic   |  |

| r | 1                  | 1   |   |                          |
|---|--------------------|---|---|--------------------------|
| 9 | Biodiversity and   | A—Protected Species Survey and Report             | A—Protected Species Survey and Report             | NPPF paras 174-177       |
|   | Geology Survey and | All applications which include conversion,        | The survey should be undertaken and prepared      | CDP Policies 10, 16, 34, |
|   | Report             | demolition, removal, or modification of           |   | 35, 40, 41, 43           |
|   |                    | existing buildings or removal or pruning of       | qualifications and experience and must be         |                          |
|   |                    | trees as follows:                                 | carried out at an appropriate time and month of   |                          |
|   |                    |   | year, in suitable weather conditions and using    |                          |
|   |                    | Where protected species are known to be           | nationally recognised survey guidelines /         |                          |
|   |                    | present (confirmed by a data search or local      | methods where available.                          |                          |
|   |                    | knowledge)  | Further information on appropriate survey         |                          |
|   |                    |   | methods can be found in Guidance on Survey        |                          |
|   |                    | Barn Owl Survey - Agricultural buildings          | Methodology published by the Institute of         |                          |
|   |                    | including barns and outbuildings except for       | Ecology and Environmental Management (IEEM).      |                          |
|   |                    | single skin livestock barns.                      | Guidance can be found within the 'Bat Surveys –   |                          |
|   |                    | Bat Survey (Structures) - A risk assessment       | ,   |                          |
|   |                    | will be required for all buildings and structures |   |                          |
|   |                    | which have features which may support roosts      | The bat and great crested newt risk assessments   |                          |
|   |                    | (e.g. hanging tiles, cracks and crevices within   | may recommend activity surveys or eDNA            |                          |
|   |                    | roof coverings or stonework), lie within 200m     | surveys to determine presence / absence of bats   |                          |
|   |                    | of woodland or water or have clear                | or great crested newts and any such surveys shall |                          |
|   |                    | connectivity to bat foraging habitats.            | be provided within any application.               |                          |
|   |                    | Structures traditionally know to support          |   |                          |
|   |                    | roosting bats will require bat risk assessments   |   |                          |
|   |                    | (e.g. bridges, aqueducts and viaduct tunnels,     |   |                          |
|   |                    | mines, kilns, ice houses, adits, military         |   |                          |
|   |                    | fortifications, air raid shelters, cellars and    |   |                          |
|   |                    | similar underground ducts and structures).        |   |                          |
|   |                    |   |   |                          |
|   |                    | Bat Survey (Trees). A risk assessment will be     |   |                          |
|   |                    | required for all trees that exhibit potential to  |   |                          |
|   |                    | support roosting bats. Surveys will be required   |   |                          |
|   |                    | for any proposals that involve floodlighting      |   |                          |
|   |                    | within 50 metres of woodland, water, or           |   |                          |
|   |                    | hedgerows / lines of trees                        |   |                          |
|   |                    |   |   |                          |

| In the case of householder applications, a bat<br>survey will not be required to validate the<br>application if:<br>a) the proposal is for extensions or<br>modifications at ground floor level only; and<br>b) there will not be any disturbance to the roof<br>structure of the dwelling and/or any domestic<br>outbuildings (e.g. garages)<br>Great Crested Newt Surveys. A risk<br>assessment will be required for all<br>applications within 250m of ponds and other<br>standing water habitats. |   |  |
|---|---|--|
| <ul> <li>B – Preliminary Ecological Assessment (PEA)</li> <li>&amp; any further Specialist Ecological Surveys</li> <li>A PEA will be required for all minor and major applications (excluding householder)</li> <li>A survey assessment and mitigation report may be waived if following consultation at the pre-application stage, it is confirmed in writing</li> </ul>   | <ul> <li>B – Preliminary Ecological Assessment (PEA) &amp; any further Specialist Ecological Surveys</li> <li>PEAs establish baseline conditions and evaluate the importance of any ecological features present (or those that could be present) within the specified site and the locality.</li> </ul>   |  |
| by the Council that a survey/report is not<br>required  | <ul> <li>A PEA comprises two distinct parts, one desk based, and one field based. These are:</li> <li>A desk study to search for records of any protected species or designated habitats within the area</li> <li>A walkover of the site to evaluate and map the habitats present and to assess any suitability for protected species such as great crested newts or bats or notable species (e.g. UK Priority</li> </ul> |  |

|  |  | A PEA will help indicate the likely significance of<br>ecological impacts from a proposed<br>development or activity and inform the<br>requirement for any further specialist ecological<br>surveys which are required to adequately assess<br>the ecological impacts.                    |  |
|--|--|---|--|
|  |  | A Preliminary Ecological Appraisal (PEA) of the<br>application site should be completed in a format<br>consistent with the 'Guidelines for Preliminary<br>Ecological Appraisal' published by the Chartered<br>Institute of Ecology and Environmental<br>Management (CIEEM).               |  |
|  |  | The PEA should be prepared by a competent and<br>qualified Ecologist. The PEA must include a<br>description of any recent works, such as<br>vegetation clearance, that have been<br>undertaken at the application site prior to the<br>ecological appraisal that may affect its findings. |  |
|  |  | Any further specialist surveys required to<br>adequately assess the ecological impacts of<br>development must be completed and provided<br>alongside the PEA.   |  |
|  | C – Biodiversity Net Gain and Biodiversity<br>Management and Monitoring Plan   | C – Biodiversity Net Gain and Biodiversity<br>Management and Monitoring Plan  |  |
|  | All Strategic and major and minor applications<br>(excluding householder applications) will be<br>required to meet biodiversity net gains. A<br>completed DEFRA Metric will be required<br>alongside any supporting information for all<br>strategic and major applications. | The use of a Biodiversity Impact Assessment<br>Calculator (DEFRA Metric) is required to inform<br>the determination of biodiversity net gains. Note<br>that important species / species assemblages are<br>not accounted for within the DEFRA metric and                                  |  |

| The need for a DEFRA metric with minor<br>applications will be dealt with on a case by<br>case basis at pre-application stage.<br>A Biodiversity Management and Monitoring<br>Plan will be required for all strategic and major<br>applications except where following<br>consultation at the pre-application stage, it is<br>confirmed in writing by<br>the Council that a survey/report is not<br>required.<br>Minor applications will require a Biodiversity<br>Management and Monitoring Plan if the use<br>of the DEFRA metric has been agreed. | <ul> <li>If biodiversity net gains cannot be delivered on site then off-site compensation at a location specified by the applicant will be required, all habitats (either on-site or off site) delivering for net gain will be subject to a 30 year Biodiversity management and Monitoring Plan. A financial contribution to the LPA to deliver biodiversity enhancements may be appropriate, especially for minor applications.</li> <li>A Biodiversity Management and Monitoring Plan will follow the PEA and DEFRA Metric, this document provides for each biodiversity feature that will be adversely affected a mitigation plan detailing:</li> <li>a. How adverse impacts will be avoided, reduced and/or mitigated.</li> <li>b. How any residual impacts that cannot be avoided and/or mitigated will be compensated for off-site.</li> <li>c. Where appropriate, how mitigation or compensation measures will be managed, resourced, and monitored post-permission.</li> <li>d. Explanation of how the development delivers net gains for biodiversity through either on-site or off-site biodiversity delivery or a combination of both.</li> </ul> |  |
|--|--|--|
|  | The Biodiversity Management and Monitoring Plan can be incorporated into the PEA.  |  |

| D - Special Areas of Conservation (SAC) &<br>Special Protection Areas (SPA) Habitats<br>Regulations Assessment   | D - Special Areas of Conservation (SAC) &<br>Special Protection Areas (SPA) Habitats<br>Regulations Assessment   |  |
|--|--|--|
| All Development which has the potential to<br>increase recreational pressure (e.g. residential<br>development, visitor<br>accommodation/attractions) falling within<br>6km of the coastal European Protected Sites,<br>and within 3km of upland European Protected<br>Sites, all development within 0.4km of the<br>coastal European Protected Sites | There are nine European Protected Sites (and<br>one pSPA) in County Durham which are<br>predominantly located in the western uplands<br>and along the coastline.<br>The Council has a duty to ensure that all the<br>activities it controls, including land use planning<br>does not harm any of the sites or the natural<br>processes that support them. To determine<br>whether planning proposals are likely to harm a<br>European Protected Site(s) or not, an assessment<br>of their effects is required. |  |
|  | This is known as Habitats Regulations<br>Assessment (HRA). Whilst it is the responsibility<br>of the Council, as the competent authority, to<br>undertake the HRA, those proposing or<br>submitting planning applications will need to<br>provide the Council with sufficient information<br>and evidence to enable the assessment to be<br>undertaken.<br>Applicants are advised to agree the extent of the   |  |
|  | level of information that will be required to<br>support this process during preapplication<br>discussions with the LPA.<br>See: <u>PPG Reference ID8</u>  |  |

| 10 | Building for Life | All residential developments of 50+ units or | An assessment of the proposal against Building      | NPPF para 124-131      |
|----|-------------------|--|---|------------------------|
|    | Assessment        | 1.5ha+ if outline.                           | for Life 12 questions, aimed to guide discussions   | CDP Policy 29          |
|    |                   |  | towards good urban design.                          |                        |
|    |                   |  |   |                        |
|    |                   |  | See: PPG Reference ID26                             |                        |
|    |                   |  | Building for Life SPD                               |                        |
| 11 | Coal Mining Risk  | Development within Coal Mining High Risk     | An assessment of existing recorded risks to         | NPPF para 170 and 178- |
|    | Assessment        | Areas.                                       | ground stability and how proposals will mitigate    | 179.                   |
|    |                   | Exempt developments include Reserved         | such risks in the interests of public safety.       | CDP Policy 32 and      |
|    |                   | Matters, householder development, changes    | See Appendix C - C1 'Coal Mining Risk               | Proposals Map: (Map A  |
|    |                   | of use, variation of condition, prior        | Assessments' of the County Durham Plan.             | Coal Mining Legacy and |
|    |                   | notifications, advertisement consents etc.   | Further information can be obtained <u>online</u> . | interactive Proposals  |
|    |                   |  | See also: <u>PPG Reference ID45</u>                 | Map).                  |

| 12 | Construction    | All detailed major proposals with existing                          | Details of the following should be provided for  | NPPF Para 180     |
|----|-----------------|---|--|-------------------|
|    | Management Plan | sensitive receptors (for example, housing,                          | construction phases of the development:  | CDP Policy 31, 35 |
|    |                 | care homes, or student accommodation) within 100m of site boundary. | 1. The hours during which construction &   |                   |
|    |                 | within 100m of site boundary.                                       | demolition activities would take place;  |                   |
|    |                 |   | 2. Measures to control emission of dust & dirt;  |                   |
|    |                 |   | 3. Measures to control the emission of noise &   |                   |
|    |                 |   | vibration;   |                   |
|    |                 |   | 4. Where construction involves penetrative   |                   |
|    |                 |   | piling, details of methods for piling of   |                   |
|    |                 |   | foundations including measures to suppress   |                   |
|    |                 |   | any associated noise and vibration;  |                   |
|    |                 |   | 5. Measures to prevent mud & other material  |                   |
|    |                 |   | migrating onto the highway;  |                   |
|    |                 |   | 6. Designation, layout & design of construction  |                   |
|    |                 |   | access & egress points;  |                   |
|    |                 |   | 7. Details for the provision of directional signage  |                   |
|    |                 |   | (on & off site);   |                   |
|    |                 |   | 8. Details of contractors' compounds, materials  |                   |
|    |                 |   | storage and other storage arrangements,  |                   |
|    |                 |   | including cranes and plant, equipment and  |                   |
|    |                 |   | related temporary infrastructure;  |                   |
|    |                 |   | 9. Details for the loading and unloading of plant,   |                   |
|    |                 |   | machinery and materials  |                   |
|    |                 |   | 10. Details of provision for all site operatives,  |                   |
|    |                 |   | parking and turning within the site;   |                   |
|    |                 |   | <ul><li>11. Routing agreements for construction traffic</li><li>12. Details of security hoarding including</li></ul> |                   |
|    |                 |   | decorative displays and facilities for public  |                   |
|    |                 |   | viewing, where appropriate;  |                   |
|    |                 |   | 13.Waste audit and scheme for waste  |                   |
|    |                 |   | minimisation and recycling/disposing of  |                   |
|    |                 |   |  | l                 |

|    |                            |   | <ul> <li>waste resulting from demolition and construction works;</li> <li>14. Measures for monitoring of noise, vibration and dust;</li> <li>15. Details of temporary lighting; and,</li> <li>16. Details of measures for liaison with the local community and procedures to deal with any complaints received.</li> <li>17. Surface water drainage measures throughout construction</li> <li>See: PPG reference ID32 PPG Reference ID31</li> </ul> |                         |
|----|----------------------------|---|---|-------------------------|
|    |                            |   | & <u>PPG Reference ID30</u>   |                         |
| 13 | Environmental<br>Statement | The Town and Country Planning<br>(Environmental Impact Assessment)<br>Regulations 2017 set out the circumstances in<br>which an Environmental Statement is<br>required. | 'screening opinion' (to determine whether ES is   | Planning (Environmental |

| 14 | Health Impact | Large developments of 100+ dwellings or | A full Health Impact Assessment is required on                              | NPPF Section 8 |
|----|---------------|---|---|----------------|
|    | Assessment    | employment sites 10ha+                  | large developments which should demonstrate                                 | CDP Policy 29  |
|    |               |   | the health credentials of the development, how                              |                |
|    |               |   | it would contribute towards healthy   |                |
|    |               |   | neighbourhoods, the health impacts of                                       |                |
|    |               |   | development and the needs of existing and                                   |                |
|    |               |   | future users, including those with dementia and                             |                |
|    |               |   | other sensory or mobility impairments. It should                            |                |
|    |               |   | identify the potential health consequences of a                             |                |
|    |               |   | proposal on a specific population and/or                                    |                |
|    |               |   | community; and maximise the positive health                                 |                |
|    |               |   | benefits and minimise potential adverse effects                             |                |
|    |               |   | on health and inequalities.   |                |
|    |               | Other Major developments                | For other major developments the planning statement should evidence how the |                |
|    |               |   | development reflects the health needs of the population.                    |                |

| 15 | Heritage Statement | <ul> <li>All applications for Listed Building Consent.</li> <li>Applications in or immediately adjacent a scheduled Ancient Monument or a site on the Register of Parks and Gardens</li> <li>All development within or adjoining a Conservation Area (except changes of use)</li> <li>All applications affecting any known or suspected archaeological sites.</li> </ul> | <ul> <li>The level of detail should be proportionate to the importance of the asset and be sufficient to understand the potential impact of the proposal on the asset's significance. Heritage Statements should include:</li> <li>A Statement of Significance – including a description of the significance of the affected assets and the contribution of their setting to that significance</li> </ul> | NPPF section 16<br>CDP Policies 44, 45, 46 |
|----|--------------------|--|---|--|
|    |                    | <ul> <li>Applications whose scale or nature could<br/>impact on heritage assets (designated and<br/>non-designated)</li> </ul>   | • Archaeological Assessment –will be required<br>for applications in areas of archaeological<br>interest or ones that may be adjacent to and<br>could affect them. In some cases, a Written<br>Scheme of Investigation or investigative works<br>prior to submission may be required.<br>Applicants are advised to discuss this<br>requirement at an early stage of developing<br>the scheme.             |  |
|    |                    |  | • Schedule of works affecting the asset, its site and setting.  |  |
|    |                    |  | • Assessment of the impact on the special interest and character of the asset, its site and setting and that of any adjacent heritage assets.   |  |
|    |                    |  | • Justification for the proposals, in terms of the principles applied, and any mitigation measures.   |  |
|    |                    |  | • Detail the sources that have been considered and the expertise that has been consulted.   |  |
|    |                    |  | See: <u>PPG Reference ID18a</u>   |  |

| 16 | Hydrogeological Risk | Mineral planning applications and landfill and | The assessment should consider and address the      | NPPF Para 170           |
|----|----------------------|--|---|-------------------------|
|    | Assessment           | landraise planning applications.               | risks posed to all ground and surface water         | Waste LP W26 W27        |
|    |                      |  | resources (quality and flow) within the vicinity of | Minerals LP W38         |
|    |                      |  | the site.   | CDP Policies 13, 32, 36 |
|    |                      |  |   |                         |
| 17 | Internal Space       | All applications for C3 and/or C4 residential  | The assessment will need to demonstrate how         | NPPF Para 127           |
|    | Standards Assessment | development excluding where an existing C3     | the development meets the Nationally Described      | CDP Policy 29           |
|    |                      | dwelling is being converted to C4.             | Space Standards (NDSS). If preferred this can be    |                         |
|    |                      |  | detailed within, and annotated on, the              |                         |
|    |                      |  | submitted floor plans for the development.          |                         |
|    |                      |  | See: Nationally Described Space Standards           |                         |

| 18 | Land Contamination<br>Assessment | <ul> <li>All development on brownfield land where contamination could be an issue due to the previous use of the site (or adjacent land)</li> <li>New development within 250 metres of current or former landfill sites</li> <li>Where the end use would be particularly sensitive (for example residential development, schools, day nurseries, care homes or hospitals)</li> </ul> | In considering individual planning applications,<br>the potential for contamination and any risks<br>arising must be properly assessed and where<br>necessary remediation measures incorporated<br>to deal with unacceptable risks. Particular<br>attention should be paid to sites where there is a<br>reason to suspect contamination and those<br>proposals for particularly sensitive uses such as<br>housing, allotments, schools, day nurseries, care<br>homes or playing fields. In such cases, a<br>minimum of a Phase 1 Land Contamination<br>Assessment (often referred to as a Phase 1<br>Preliminary Risk Assessment or Desk Top Study)<br>should be carried out.<br>All investigations of land potentially affected by<br>contamination should be carried out by a<br>suitably qualified competent person. Sufficient<br>information should be provided to determine<br>the existence or otherwise of contamination, its<br>nature, and the risks it may pose and whether<br>these can be satisfactorily reduced to an<br>acceptable level.<br>As a minimum a Phase 1 Preliminary Risk<br>Assessment should identify all past uses of the<br>site. As contaminants have the potential to<br>migrate from the source, adjacent and nearby<br>sites, will also need to be risk assessed. The<br>assessment should identify whether a Phase 2 | NPPF Paras 170 & 178-179<br>CDP Policy 32 |
|----|----------------------------------|--|---|---|
|    |                                  |  | Contamination Assessment is required. Where<br>contamination is known or is likely to be present<br>it may be necessary to undertake a Phase 2<br>assessment of contamination<br>Further information is available in the following<br>guidance:   |   |

|  | YALPAG 'Development on land affected by<br>contamination' including Appendix 2 – Screening<br>Assessment Form<br>YALPAG 'Verification requirements for cover<br>systems'<br>YALPAG 'Verification requirement for gas<br>protection systems' |  |
|--|---|--|
|  | See: <u>PPG Reference ID33</u>  |  |

| 19 | Levels | All developments where the proposal involves   | Plans will usually be at a scale of 1:50 or1:100  |  |
|----|--------|--|---|--|
|    |        | a change in ground levels or where ground<br>levels outside of the application site are<br>noticeably different. | and show existing and proposed site levels and<br>finished floor levels including cross sections<br>where relevant (with levels related to a fixed<br>datum point off site). Plans shall also show the<br>proposals in relation to adjoining buildings that                     |  |
|    |        |  | may be affected by the development<br>Section drawings should identify existing and<br>proposed ground levels and be accompanied by<br>a plan showing the points between which the<br>cross sections have been taken.   |  |
|    |        |  | The plans should also show existing and<br>proposed buildings within and adjacent to the<br>site and identify finished floor and ridge levels of<br>existing and proposed buildings.  |  |
|    |        |  | Where householder development is being<br>proposed, the levels may be evident from floor<br>plans and elevations. On sloping sites,<br>particularly where detached buildings are<br>proposed, it will be necessary to show how a<br>proposal relates to existing ground levels. |  |
|    |        |  | If plans are being emailed for submission, they must be in a .pdf or .tif format.   |  |

| 20 | Lighting Assessment | Applications for developments which would<br>involve the provision of significant external<br>lighting (e.g. floodlights or security lighting)<br>that may have an adverse impact on<br>residential amenity, the character of the open<br>countryside or a heritage asset | Schemes involving floodlighting need to provide<br>an assessment covering: light spillage, hours of<br>illumination, light levels, column heights,<br>specification and colour, treatment for lamps<br>and luminaries, the need for full horizontal cut-<br>off; no distraction to the highway; levels of<br>impact on nearby dwellings; use of demountable<br>columns; retention of screening vegetation; use<br>of planting and bunding to contain lighting<br>effects. | · |
|----|---------------------|---|---|---|
|    |                     |   | <ul> <li>The assessment should assess the effects on:</li> <li>Visual amenity,</li> <li>Local character and distinctiveness,</li> <li>Neighbouring amenity,</li> <li>Heritage assets if present,</li> <li>nature conservation</li> <li>And how those effects will be mitigated.</li> </ul>  |   |
|    |                     |   | <i>Further advice is available in:</i><br>DCC Technical Advice Note – Lighting<br>Institute of Lighting Engineers ' <u>Guidance Note</u><br>for the Reduction of Obtrusive Light 2011'  |   |
|    |                     |   | Lighting in the Countryside: <u>Towards Good</u><br><u>Practice</u><br>Durham City Light and Darkness <u>Strategy</u><br>See: <u>PPG Reference ID31</u>   |   |

| 21 | Meeting Housing Needs<br>Statement | All housing applications for 5 units or more to<br>provide The Accessible and Adaptable Homes<br>Statement                                    | The Accessible and Adaptable Homes Statement<br>shall identify how the development meets the<br>M4(2) requirement. A proforma and checklist are<br>available for this purpose on request from<br><u>spatialpolicy@durham.gov.uk</u> .  | NPPF – Section 5<br>CDP Policies 4, 5, 6, 11, 15,<br>25 |
|----|------------------------------------|---|--|---|
|    |                                    | All major housing application and applications<br>for 5 units or more in West Durham to provide<br>an additional affordable housing Statement | <ul> <li>An affordable Housing Statement shall identify:</li> <li>Number of dwellings (to include market, affordable and other specialist housing types e.g. self-build/custom build)</li> <li>percentage proposed as affordable</li> <li>Tenure of affordable dwellings (rented stated as social rent or affordable rent and intermediate stated as shared ownership),</li> <li>Type of property proposed and size (no. of bedrooms) by both market and affordable</li> <li>Gross internal area (sqm) by tenure and property type,</li> <li>Compliance of any standards (e.g. HCA DQS),</li> <li>Details of housing to meet the need of older persons and persons with disabilities on sites of 10+ dwellings.</li> <li>Location of affordable on-site layout</li> <li>Evidence that affordable housing meets the requirements of local RSLs</li> <li>Where it is not intended to provide the required level of affordable housing the statement shall include reference to viability (see 36 below)</li> <li>Further information is available in County Durham Strategic Housing Market Assessment (SHMA)</li> </ul> |   |

| 22 | Minerals and/or Waste | All planning applications within or adjoining a | An assessment of compatibility of development    | NPPF Section 17 - Para |
|----|-----------------------|---|--|------------------------|
|    | Infrastructure        | Minerals and Waste Site Safeguarding Zone       | with the existing established minerals or waste  | 204e                   |
|    | Assessment            | other than exempt development as set out in     | related use and allocation (where relevant). See | CDP Policy 48 and      |
|    |                       | Appendix C C3 'Minerals and/or Waste            | Appendix C C3 'Minerals and/or Waste             | Proposals Map          |
|    |                       | Infrastructure Assessment' of the County        | Infrastructure Assessment' of the County         |                        |
|    |                       | Durham Plan.                                    | Durham Plan.                                     |                        |

| 23 | Minerals Assessment | Minerals – All land within and adjoining a | Mineral Safeguarding Areas are identified           | NPPF Section 17 – Para    |
|----|---------------------|--|---|---------------------------|
|    |                     | Mineral Safeguarding Area other than       | throughout County Durham. A Mineral                 | 204c                      |
|    |                     | exempt development as set out in Appendix  | Assessment is an assessment to determine            | CDP Policy 56 and Map C   |
|    |                     | C C2 of the County Durham Plan.            | whether development would sterilise mineral         | of Policies Map and       |
|    |                     | e ez or the county burnan rian.            | resources of local and national importance, or      | Interactive Policies Map. |
|    |                     |  | which will sterilise an identified 'relic' natural  | interactive Folicies Map. |
|    |                     |  | building and roofing stone quarry as shown on       |                           |
|    |                     |  |   |                           |
|    |                     |  | Map C of the policies map.                          |                           |
|    |                     |  | Information should be relevant, necessary, and      |                           |
|    |                     |  | material to the application in question. Basic      |                           |
|    |                     |  | information on a site should be provided through    |                           |
|    |                     |  | desk-based appraisal of existing information        |                           |
|    |                     |  | including reference to existing geological maps,    |                           |
|    |                     |  | borehole data and previous site investigations.     |                           |
|    |                     |  | Similarly, key constraints on extraction from the   |                           |
|    |                     |  | site can be obtained through consideration of       |                           |
|    |                     |  | the Plan policies map and through site walk-over.   |                           |
|    |                     |  | This may be sufficient where it can be              |                           |
|    |                     |  | demonstrated that the site would be unlikely to     |                           |
|    |                     |  | ever be suitable for minerals extraction (taking    |                           |
|    |                     |  | into consideration geographical constraints.        |                           |
|    |                     |  | adjoining land uses, access considerations etc) or  |                           |
|    |                     |  | sterilisation would be minimal. In such             |                           |
|    |                     |  | circumstances it is unlikely that additional        |                           |
|    |                     |  | information will be required.                       |                           |
|    |                     |  | internation will be required.                       |                           |
|    |                     |  | Where there is a potential that a site may be       |                           |
|    |                     |  | suitable for mineral extraction and sterilisation   |                           |
|    |                     |  | would not be minimal, additional information        |                           |
|    |                     |  | should be obtained through site ground              |                           |
|    |                     |  | investigations sampling of the underlying           |                           |
|    |                     |  | mineral through boreholes and/or trial pits. This   |                           |
|    |                     |  | would enable quantification of the amount of        |                           |
|    |                     |  | mineral to be sterilised and through assessing its  |                           |
|    |                     |  | quality, estimate the value of the mineral. In such |                           |
| L  | 1                   | 1  | · · · ·   |                           |

|    |                  |   | instances the Mineral Resource Assessment<br>needs to set out clear conclusions as to the<br>viability of extraction of mineral from the<br>proposed development site, taking account of<br>the presence or absence of constraints, the<br>amount (tonnage) and economic value of the<br>mineral that will be sterilised.<br>Further Advice is Available in Appendix C C2<br>'Minerals Assessments' of the County Durham |  |
|----|------------------|---|--|--|
| 24 | Noise Assessment | Proposals that raise issues of potential noise<br>disturbance or for new noise sensitive<br>development in existing noisy areas | Further Advice is Available in Appendix C C2<br>'Minerals Assessments' of the County Durham<br>Plan.<br>Assessment should be undertaken by a suitably  |  |
|    |                  |   | and Technical Advice Note –Noise.<br>See: <u>PPG Reference ID30</u>  |  |

| 25 | Nutrient Neutrality<br>Information | Nutrient neutrality information is required  | Nutrient neutrality information must include:  | NPPF Part 15  |
|----|------------------------------------|--|--|---------------|
|    |                                    | <ul> <li>where Planning Application is within a catchment to which the Natural England nutrient neutrality advice applies (see information) and the scheme is for (including but not limited to): <ul> <li>Agricultural development which will result in an increase in stock numbers; or</li> <li>New overnight accommodation (including new dwellings, new camping, glamping or caravan pitches served by on-site toilet or washing facilities, or new hotel bedroom accommodation); or</li> <li>New tourism development which is likely to increase the number of day visitors to a premises;</li> <li>All other developments (excluding householder but including commercial developments) – where on-site overnight accommodation is provided.</li> </ul> </li> </ul> | <ul> <li>Completed Natural England nutrient<br/>neutrality budget calculator for the<br/>relevant catchment; and</li> <li>Nutrient neutrality mitigation strategy;<br/>and</li> <li>Shadow habitats regulations assessment<br/>or</li> <li>Evidence that all thresholds for small<br/>discharges to ground as set out in the<br/>Natural England Nutrient Neutrality<br/>Advice letter are met.</li> <li>For further information please see: <u>Policy Paper</u><br/><u>Nutrient pollution: reducing the impact on</u><br/>protected sites.</li> </ul> | CDP Policy 42 |
|    |                                    | Any other largescale major development (10,000sqm+ or 2ha+) that may result in the addition of nutrients to the catchment will need to be considered on their individual circumstances and you are advised to discuss these at an early stage with Officers.   |  |               |

| 26 | Open Space, Sport, Play<br>and Leisure Assessment |                              | Development proposals will be required to<br>provide for and maintain appropriate open<br>space, sports, and recreational facilities as an<br>integral part of new development. See: OSNA   |  |
|----|---|------------------------------|---|--|
|    |   | All major housing proposals. | <ul> <li>All major housing applications shall include a detailed breakdown of how the identified typologies and quantum of open space set out in the OSNA would be provided for.</li> <li>Applications involving loss of open space should include evidence that:</li> <li>It can be demonstrated that open space is surplus to requirements,</li> <li>The needs/benefits of the development clearly outweigh the loss,</li> <li>Mitigation and/or compensation proposals.</li> <li>For applications regarding playing fields and playing pitches, refer to <u>Sport England Guidance</u>.</li> <li>See: <u>PPG Reference ID37</u></li> </ul> |  |

| 27 | Planning Statement | All major planning applications<br>Developments requiring a detailed<br>understanding of relevant policy and context<br>Applications for Gypsies and Travellers sites.<br>Non-employment uses on protected and<br>allocated employment sites<br>Applications for PBSA | Assessment of how development accords with<br>relevant national and local planning policies.<br>Applications where regeneration benefits are of<br>material significance should detail jobs that<br>might be created or supported, community<br>benefits; and, reference to any relevant<br>regeneration strategies and social and<br>environmental benefits.<br>A Planning Statement would be particularly<br>useful where a detailed understanding of specific<br>relevant policy and context is required, such as<br>proposals which may not accord with Local Plan<br>Policies. | NPPF Para 95<br>Planning Policy for<br>Traveller Sites 2015<br>CDP Policy 29 |
|----|--------------------|---|---|--|
|    |                    |   | Where development is in an area where large<br>numbers of people are likely to congregate (<br>transport hubs, night time economy venues,<br>cinemas, theatres, sports stadia and arenas,<br>shopping centres, health and education<br>establishments, places of worship, hotels and<br>restaurants, visitor attractions and commercial<br>centres) the statement should identify how the<br>proposal anticipates and addresses potential<br>malicious threats and natural hazards.   |  |
|    |                    |   | The assessment should identify the extent of community engagement and consultation undertaken.  |  |
|    |                    |   | Where development proposals relate to the creation of pitches (a pitch on a "gypsy and traveller" site) or plots (a pitch on a "travelling showpeople" site (often called a "yard")) the application will need to be supported by information and evidence as to the status of the applicants and intended occupiers of the site, falling into the definition of "gypsies and travellers" or "travelling showpeople".   |  |

| <ul> <li>Where proposals relate to non-employment uses<br/>on protected and allocated employment sites,<br/>they shall be supported by documented<br/>evidence of unsuccessful marketing with at least<br/>one recognised commercial agent for the<br/>requisite period of: <ul> <li>at least 12 months for a change of use<br/>of a property,</li> <li>2 years for the redevelopment of a<br/>protected site and development of an<br/>allocated site below 10ha,</li> <li>5 years for the development an allocated<br/>site of greater than 10ha</li> </ul> </li> <li>The planning statement should address need<br/>where required by plan policies.</li> <li>See: <u>PPG Reference ID 20</u></li> </ul> |
|---|

|    | 1  | 1  |  |                                 |
|----|--|--|--|---------------------------------|
| 28 | Sequential Test and<br>Impact Assessment for<br>Town Centre Uses | <ul> <li>Sequential Test: All 'Main Town Centre Uses' outside of town centres excluding small scale rural development.</li> <li>Impact Assessment: All 'Main Town Centre Uses': <ul> <li>Proposals for retail, more than 1,500 sqm (gross) convenience floorspace or 1,000 sqm (gross) comparison floorspace, proposed outside of a defined centre that could impact on a Sub Regional, Large Town or District Centre*.</li> <li>Proposals for retail, more than 400 sqm (gross) convenience or comparison floorspace, proposed outside of a defined centre that could impact on a Sub Regional, Large Town or District Centre*.</li> <li>Proposals for retail, more than 400 sqm (gross) convenience or comparison floorspace, proposed outside of a defined centre that could impact on Small Town or Local Centres.</li> <li>For leisure development, the national default threshold of 2,500 sqm is applicable for all centres.</li> </ul> </li> </ul> | <ul> <li>Sequential Test should address the following:</li> <li>Has the suitability of more central sites been considered?</li> <li>Where the site is an edge of centre or out of centre location, provide justification.</li> <li>Is there scope for flexibility in the format and/or scale of the proposal?</li> <li>If not in a town centre location, provide evidence that there are no suitable sequentially preferable locations.</li> <li>Impact Assessment must assess the impact on existing, committed and planned public and private investment in a centre or centres in the catchment of the proposal and the impact of the proposal on town centre vitality and viability, including local consumer choice and trade in the town centre and wider area, up to 5 years from application submission or 10 years for major schemes.</li> <li>See: PPG Reference ID2b</li> </ul> | NPPF para 86-90<br>CDP Policy 9 |
|    |  | defined centre that could impact on a Sub Regional, Large Town or District   | sequentially preferable locations.   |                                 |
|    |  |  |  |                                 |
|    |  |  |  |                                 |
|    |  |  | •  |                                 |
|    |  |  |  |                                 |
|    |  |  |  |                                 |
|    |  | Centres.   | -  |                                 |
|    |  | default threshold of 2,500 sqm is  | application submission or 10 years for major   |                                 |
|    |  |  |  |                                 |
|    |  | •  | See: <u>PPG Reference ID2b</u>   |                                 |
|    |  | sqm (gross) convenience floorspace or 1,000 sqm (gross) comparison   |  |                                 |
|    |  | floorspace within the defined District   |  |                                 |
|    |  | Centres* will be required to consider  |  |                                 |
|    |  | the impact on Durham City Centre and   |  |                                 |
|    |  | other centres potentially impacted.  |  |                                 |
|    |  | For leisure development, the national  |  |                                 |
|    |  | default threshold of 2,500 sqm is applicable.  |  |                                 |
|    |  | See Annex 2 Glossary in <u>NPPF</u> for definition of 'Main Town Centre Uses'.   |  |                                 |

|    |                                      | * Where an application proposes a scheme<br>that would provide a mix of comparison and<br>convenience retailing, the 1,500 sqm threshold<br>is applicable. |  |               |
|----|--------------------------------------|--|--|---------------|
| 29 | Soil Resource<br>Management Strategy | Any development on a site of 1ha or more<br>which is not on previously developed land.   | The strategy shall clearly describe the proposed<br>use of all soils on site and demonstrate that soil<br>resources will be managed and conserved in a<br>viable condition and used sustainably in line with<br>accepted best practice. The strategy should<br>detail soil handling, storage, and replacement<br>methods to be used appropriate to the grade of<br>soil and intended after-use. Where soils are to<br>be used on site, the strategy shall also include<br>details of the proposed soil depths upon<br>replacement and plant and machinery to be used<br>as well as, where appropriate, steps to prevent<br>the spread of any soil-borne plant or animal<br>diseases. If soils are to be removed from site,<br>then details of quantities to be removed and a<br>programme for their removal shall be submitted.<br>See: <u>PPG Reference ID8</u> | CDP Policy 14 |

| 30 | Structural Survey | Proposals involving the rebuilding or re-use of | The report must demonstrate that the building is    | NPPF 195          |
|----|-------------------|---|---|-------------------|
|    |                   | buildings, such as barn conversions, or listed  | structurally sound, fit for purpose and capable of  | CDP Policy 10, 44 |
|    |                   | buildings and other historic buildings.         | conversion without extensive re-building. The       |                   |
|    |                   |   | report should include scaled drawings               |                   |
|    |                   | Proposals involving substantial or total        | highlighting areas that require replacement,        |                   |
|    |                   | demolition of heritage assets where             | repair or renewal and identify the extent to        |                   |
|    |                   | justification is sought on physical condition.  | which works, or repairs are necessary, and the      |                   |
|    |                   |   | amount of new structural work needed to             |                   |
|    |                   |   | facilitate the conversion. The plans should make    |                   |
|    |                   |   | clear the retained and new parts of the building,   |                   |
|    |                   |   | along with a construction methodology setting       |                   |
|    |                   |   | out how the existing structure will be protected.   |                   |
|    |                   |   |   |                   |
|    |                   |   | Where demolition of a heritage asset is proposed    |                   |
|    |                   |   | the survey should demonstrate why the building      |                   |
|    |                   |   | cannot be retained, and justification for its loss. |                   |
|    |                   |   |   |                   |

| 31 Surface | e & Foul Water                      | Flood Risk Assessment required for:  | Flood Risk Assessments:  | NPPF Section 14  |
|------------|-------------------------------------|--|--|--|
| Manag      | gement including<br>Risk Assessment | <ul> <li>All development on sites of 1 hectare or<br/>more</li> <li>Operational development of less than 1<br/>hectare in Flood Zone 2 and 3</li> </ul>  | The FRA should identify and assess the risks of all<br>forms of flooding to and from the development<br>and demonstrate how these flood risks will be<br>managed, taking climate change into account.  | Minerals LP M38<br>Waste LP W26, W27<br>CDP Policies 8, 29, 35, 36 |
|            |                                     | <ul> <li>Change of use resulting in 'highly vulnerable' or 'more vulnerable' development in Flood Zone 2 and 3</li> <li>Change of use from water compatible to less vulnerable development in Flood Zone 3</li> </ul>  | The FRA should identify opportunities to reduce<br>the probability and consequences of flooding<br>and address the requirement for safe access to<br>and from the development in areas at risk of<br>flooding. Where the relevant threshold applies,<br>the FRA should include Sustainable Drainage<br>Systems (SUDs) details. |  |
|            |                                     | <ul> <li>Non-residential extensions with a footprint<br/>of less than 250sqm where the<br/>development includes culverting or control<br/>of any river or stream or any development<br/>within 20 metres of the top of a bank of a<br/>main river.</li> <li>Surface and foul water drainage details<br/>including Sustainable Urban Drainage (SUDs)<br/>details will be required for:</li> <li>Residential developments of 10 or more<br/>dwellings or a site of 0.5 hectares or greater</li> <li>Non-residential developments of 1,000sqm<br/>or more a site of 1 hectare or more.</li> </ul> | criteria relating to sequential and exceptions test  |  |

|  | <ul> <li>Full planning applications must provide:</li> <li>Surface Water Drainage Strategy Statement</li> <li>Ground investigation report (specifically infiltration testing)</li> <li>Detailed layout of SuDS and other drainage</li> <li>Topographical Survey (existing and proposed levels)</li> <li>Full hydraulic Model with Computerised model available on request.</li> <li>Evidence of third-party agreement for final discharge</li> <li>Maintenance schedule and ongoing maintenance responsibilities</li> <li>Details of how foul water will be disposed of should be detailed in the assessment.</li> <li>If plans are being emailed for submission, they must be in a .pdf or .tif format.</li> <li><i>Further advice is available in:</i> Technical <u>Guidance</u> to the NPPF.</li> <li>See: <u>PPG Reference ID7</u></li> </ul> |
|--|---|
|  | Environment Agency's Flood Risk <u>Standing</u><br><u>Advice</u>  |

| 32 | Sustainability<br>Statement | All new major development | This statement should set out how the proposal accords with the principles of sustainable development including a sustainability  | NPPF Section 2<br>CDP Policy 29 |
|----|-----------------------------|---------------------------|---|---------------------------------|
|    |                             |                           | assessment demonstrating how the<br>development will be expected to achieve either<br>reductions in CO2 emissions of 10% below the<br>DER against the TER for residential development<br>or a BREEAM minimum rating of 'very good' for<br>non-residential development.<br>Applications should be supported by a |                                 |
|    |                             |                           | Sustainability Checklist, available at:<br>DCC Sustainability Statement Guidance  |                                 |

| 33 | Telecommunications<br>Assessment | All applications for telecommunications equipment | Planning applications and prior notifications for<br>mast and antenna development by mobile<br>phone network operators in England should be<br>accompanied by a range of supplementary<br>information including the area of search, details  | • |
|----|----------------------------------|---|--|---|
|    |                                  |   | of any consultation undertaken, details of the<br>proposed structure, and technical justification<br>and information about the proposed<br>development.  |   |
|    |                                  |   | Applications should also be accompanied by a signed declaration that the equipment and installation has been designed to be in full compliance with the requirements or the radio frequency (RF) public exposure guidelines of the International Commission on Non-Ionizing Radiation Protection (ICNIRP). |   |
|    |                                  |   | <i>Further advice is available in:</i><br><u>Code of practice</u> on Mobile Network<br>Development   |   |

| 34 | Transport Assessment | E Food Retail (Supermarket) >800sqm            | Where development could potentially affect the     | NPPF Section 9         |
|----|----------------------|--|--|------------------------|
| 54 |                      | E Non-Food Retail >1500sqm                     | operation of the Strategic Road Network,           | Minerals LP M42, M43   |
|    |                      | E Financial & Professional Services >2500sqm   | applicants and their agents are encouraged to      | Waste LP W29, W31, W32 |
|    |                      | E Restaurants & Cafes >2500sqm                 | consult with Highways England before               | CDP Policies 3, 5, 21  |
|    |                      | Sui Generis Drinking Establishment >600sqm     | submitting a planning application.                 | CDF FOIICIES 5, 5, 21  |
|    |                      | <b>c</b>                                       | submitting a planning application.                 |                        |
|    |                      | Sui Generis Hot Food Takeaway >500sqm          |  |                        |
|    |                      | E Office/R&D/Light Industry>2500sqm            | The Transport Assessment should reflect the        |                        |
|    |                      | B2 Industrial >4000sqm                         | scale of the development and the extent of the     |                        |
|    |                      | B8 Storage or Distribution >5000sqm            | transport implication and illustrate the sites     |                        |
|    |                      | C1 Hotels >100 beds                            | accessibility by all transport modes, and the      |                        |
|    |                      | C2 Residential Institution (Hospitals/nursing  | likely modal split of journeys to and from the     |                        |
|    |                      | homes) >50 bed                                 | site.  |                        |
|    |                      | C2 Residential Institutions (Education) >150   |  |                        |
|    |                      | students                                       | It should also give details of measures to improve |                        |
|    |                      | C2 Secure Residential Institutions >400        | access by public transport, walking and cycling,   |                        |
|    |                      | residents                                      | to address the need for parking associated with    |                        |
|    |                      | C3 Dwellings >80 units                         | the proposal, and to mitigate transport impacts.   |                        |
|    |                      | E Clinics, Health Centres, Day Care, Creches > |  |                        |
|    |                      | 1000sgm  | Further Advice is Available in:                    |                        |
|    |                      | F1 Education & Training, Libraries, Public     |  |                        |
|    |                      | Halls, Places of Worship > 1000sqm             | Guidance on Transport Assessment (Department       |                        |
|    |                      | E Indoor recreation & Gyms > 1500sqm           | for Transport)                                     |                        |
|    |                      | F2 Community Halls/Meeting Place >1500sqm      |  |                        |
|    |                      | F2 Swimming Baths/Skating Rinks > 1500sqm      | See: PPG Reference ID 42                           |                        |
|    |                      |  |  |                        |
|    |                      | Development likely to increase accidents or    |  |                        |
|    |                      | vehicle/pedestrian conflicts                   |  |                        |
|    |                      |  |  |                        |
|    |                      |  |  |                        |

| 35 | Transport Statement | E Food Retail (Supermarket) 250-800sqm         | Where development could potentially affect the  | NPPF Section 9        |
|----|---------------------|--|---|-----------------------|
|    |                     | E Non-Food Retail 800-1500sqm                  | operation of the Strategic Road Network,        | Minerals LP M42, M43  |
|    |                     | E Financial & Professional Services 1000-      | applicants and their agents are encouraged to   | Waste LP W29,         |
|    |                     | 2500sqm  | consult with Highways England before            | CDP Policies 3, 5, 21 |
|    |                     | E Restaurants & Cafes 300-2500sqm              | submitting a planning application.              |                       |
|    |                     | Sui Generis Drinking Establishments 300-       |   |                       |
|    |                     | 600sqm   | To outlines the transport aspects of the        |                       |
|    |                     | Sui Generis Hot Food Takeaway 250-500sqm       | application and give details of proposed        |                       |
|    |                     | E Office/R&D/Light Industry Business 1500-     | measures to improve access by public transport, |                       |
|    |                     | 2500sqm  | walking and cycling, to address the need for    |                       |
|    |                     | B2 Industrial - 2500-4000sqm                   | parking associated with the proposal, and to    |                       |
|    |                     | B8 Storage or Distribution 3000-5000sqm        | mitigate transport impacts.                     |                       |
|    |                     | C1 Hotels 75-100 beds                          |   |                       |
|    |                     | C2 Residential Institutions (Hospitals and     | Further Advice is Available in:                 |                       |
|    |                     | nursing homes) 30-50 beds                      |   |                       |
|    |                     | C2 Residential Institutions (Education) 50-150 | Guidance on Transport Assessment (Department    |                       |
|    |                     | students                                       | for Transport)                                  |                       |
|    |                     | C2 Secure Residential Institutions 250-400     |   |                       |
|    |                     | residents                                      | See: <u>PPG Reference ID 42</u>                 |                       |
|    |                     | C3 Dwellings 50-80 units                       |   |                       |
|    |                     | E Clinics, Health Centres, Day Care, Creches   |   |                       |
|    |                     | 500-1000sqm                                    |   |                       |
|    |                     | F1 Education & Training, Libraries, Public     |   |                       |
|    |                     | Halls, Places of Worship 500-1000sqm           |   |                       |
|    |                     | E Indoor Recreation & Gyms 500-1500sqm         |   |                       |
|    |                     | F2 Swimming Baths/Skating Rinks 500-           |   |                       |
|    |                     | 1500sqm  |   |                       |
|    |                     |  |   |                       |
|    |                     | Development not in conformity with the         |   |                       |
|    |                     | development plan                               |   |                       |
|    |                     |  |   |                       |
|    |                     | Development generating 30+ two-way vehicle     |   |                       |
|    |                     | movements in an hour                           |   |                       |
|    |                     | Development generating 100+ two-way            |   |                       |
|    |                     | vehicle movements per day                      |   |                       |
|    |                     | venicie niovenients per uay                    |   |                       |

|    |             | Development proposing 100+ parking spaces  |   |                       |
|----|-------------|--|---|-----------------------|
|    |             | Development generating significant<br>freight/HGV movements per day or significant |   |                       |
|    |             | abnormal loads per year  |   |                       |
|    |             | Development in a location where transport  |   |                       |
|    |             | infrastructure is inadequate   |   |                       |
|    |             | Development within or adjacent to an AQMA  |   |                       |
| 36 | Travel Plan | E Food Retail (Supermarket) >800sqm  | A Travel Plan should outline the way in which the | NPPF para 111         |
|    |             | E Non-Food Retail >1500sqm   | transport implications of the development are     | Minerals LP M42, M43  |
|    |             | E Financial & Professional Services >2500sqm                                       | going to be managed to ensure the minimum         | Waste LP W29,         |
|    |             | E Restaurants & Cafes >2500sqm   | environmental, social, and economic impacts.      | CDP Policies 3, 5, 21 |
|    |             | Sui Generis Drinking Establishment >600sqm   |   |                       |
|    |             | Sui Generis Hot Food Takeaway >500sqm  | It should give details of measures to improve     |                       |
|    |             | E Office/R&D/Light Industry>2500sqm  | access by public transport, walking, and cycling, |                       |
|    |             | B2 Industrial >4000sqm   | to address the need for parking associated with   |                       |
|    |             | B8 Storage or Distribution >5000sqm<br>C1 Hotels >100 beds                         | the proposal and mitigate transport impact.       |                       |
|    |             | C2 Residential Institution (Hospitals/nursing                                      | There are 4 different types of Travel Plan which  |                       |
|    |             | homes) >50 bed   | are defined as Outline, Framework, Full and       |                       |
|    |             | C2 Residential Institutions (Education) >150                                       | STARS; these are expected at different stages of  |                       |
|    |             | students   | the planning process.                             |                       |
|    |             | C2 Secure Residential Institutions >400  |   |                       |
|    |             | residents  | Further Advice is Available in:                   |                       |
|    |             | C3 Dwellings >80 units (at Travel Planners   | Saci DDC Deference ID 42                          |                       |
|    |             | discretion, see guidance)  | See: <u>PPG Reference ID 42</u>                   |                       |
|    |             | E Clinics, Health Centres, Day Care, Creches > 1000sqm                             | Guidance on Travel Plane (Durham County           |                       |
|    |             | F1 Education & Training, Libraries, Public   | Guidance on Travel Plans (Durham County           |                       |
|    |             | Halls, Places of Worship > 1000sqm   |   |                       |
|    |             | E Indoor recreation & Gyms > 1500sqm   |   |                       |
|    |             | F2 Community Halls/Meeting Place >1500sqm  |   |                       |
|    |             | F2 Swimming Baths/Skating Rinks > 1500sqm  |   |                       |
|    |             |  |   |                       |
|    |             |  |   |                       |

| 37 | Tree and Hedgerow<br>Assessment     | All development where there are mature or<br>semi-mature trees/hedgerows within the site<br>or on land adjacent that could influence or be<br>affected by the development (including<br>street trees). | Information will be required on which<br>trees/hedgerows are to be retained and on the<br>means of protecting these trees during<br>construction works. This will include an accurate<br>survey of the location and size of the<br>trees/hedgerow, classification, identification of<br>any root protection areas, and likely impact of<br>development<br>Full guidance on the survey information,<br>protection plan and method statement that<br>should be provided with an application is set out<br>in the current BS5837: 2012 Trees in relation to<br>construction.<br>If plans are being emailed for submission, they<br>must be in a .pdf or .tif format.<br><i>Further advice is available in:</i><br><u>DCC Tree Management Policy</u><br>See: <u>PPG Reference ID 36</u> | NPPF part 15<br>CDP Policies 29, 40           |
|----|-------------------------------------|--|---|---|
| 38 | Ventilation/Extraction<br>Statement | All applications for developments where substantial ventilation or extraction equipment is proposed.   | Details of the position and design of ventilation<br>and extraction equipment, including noise and<br>odour abatement techniques,<br>Further advice and guidance can be obtained by<br>contacting the Councils Nuisance Action Team<br>and Technical Advice Note – Odour.   | NPPF paras 170 and 180<br>CDP Policies 30, 31 |

| 39 | Viability Assessment | For applications when policy-compliant<br>development is not being proposed for<br>viability reasons (e.g. see Meeting Housing<br>Needs above) | <ul> <li>The appraisal should include sufficient information to enable an objective review of the scheme, and have regard to the checklist at Appendix C of the RICS guidance note, Financial Viability in Planning.</li> <li>As a minimum, the Council will require a sitespecific viability report and appraisal including: <ul> <li>Details of floor areas, types &amp; numbers of units</li> <li>Estimate of sales values including values for affordable housing</li> <li>Market evidence in support of the sales values</li> <li>A calculation of the Gross Development Value, with evidence of how it has been derived</li> <li>Details of all costs to be incurred, including acquisition costs, site preparation costs, external works and infrastructure costs, construction costs, abnormal costs, level of contingency, finance/interest costs, professional fees, marketing costs, agents fees, legal costs and disposal fees</li> <li>Details of Section 106 Contributions</li> <li>Development programme to show pre-build timescales, construction timescales, marketing and sales period and phasing assumptions,</li> <li>The level of development profit expressed as profit on cost and/or profit on value.</li> </ul> </li> </ul> | NPPF Para 57<br>CDP Policies 15, 25 |
|----|----------------------|--|--|-------------------------------------|
|----|----------------------|--|--|-------------------------------------|

| 40 | Visual Impact         | All major proposals or other developments     | Assessment should include visual                   | NPPF Paragraphs 127, 149,    |
|----|-----------------------|---|--|------------------------------|
|    | Assessment            | having potential landscape/townscape/visual   | representations of the site before and after       | 170-172                      |
|    | (Townscape/Landscape) | impacts affecting the character or setting of | development using photomontages or artist's        | CDP Policies 3, 4, 5, 6, 10, |
|    |                       | the Durham Cathedral and Castle World         | impressions.                                       | 13, 16, 26, 29, 33, 34, 37,  |
|    |                       | Heritage Site, the North Pennines Area of     |  | 38, 39, 44, 45, 46           |
|    |                       | Outstanding Natural Beauty, or the Heritage   | The submission should include a list of            |                              |
|    |                       | Coast.  | viewpoints to assess the proposal which should     |                              |
|    |                       |   | be agreed prior to submission of the application.  |                              |
|    |                       | All applications for solar farms.             |  |                              |
|    |                       |   | A detailed visual impact assessment on any         |                              |
|    |                       | All applications for wind turbines            | receptors including; distance, compass direction,  |                              |
|    |                       |   | orientation of the receptor to the proposal and    |                              |
|    |                       |   | the extent of any vision from the receptor point   |                              |
|    |                       |   | (oblique/direct views), details of any intervening |                              |
|    |                       |   | topography/landscaping and manmade features.       |                              |
|    |                       |   |  |                              |
|    |                       |   | Further Advice is Available in:                    |                              |
|    |                       |   |  |                              |
|    |                       |   | Landscape and Visual Impact Assessment 3rd         |                              |
|    |                       |   | Edition  |                              |